



PTO/SB/21 (12-97) Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box -> | + Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/660,824 Application Number TRANSMITTAL September 13, 2000 **Filing Date FORM** Alan ROWE First Named Inventor (to be used for all correspondence after initial filing) Group Art Unit Not Assigned Not Assigned Examiner Name 103.1046.01 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Χ Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Affidavits/declaration(s) To Convert a Status Letter **Provisional Application** Power of Attorney, Revocation Additional Enclosure(s) Extension of Time Request Change of Correspondence (please identify below): Address Declaration by Inventor; Terminal Disclaimer Express Abandonment Request Statement Under CFR 37 3.73(b); and Small Entity Statement Information Disclosure Statement Return Postcard Request for Refund Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John C. Merchant Reg. No. 45,996 Individual name Signature December 20, 2000 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this dat 2000, December 20, 2000 9 Arlette Malhas Typed or printed name

Burden Hour Statement: This ferm is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Biltto

Date

December 20, 2000

Signature





PATENT TRADEMARK OFFICE

PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1,272.00

Complete if Known		
Application Number	09/660,824	
Filing Date	September 13, 2000	
First Named Inventor	Alan ROWE	
Examiner Name	Not Assigned	
Group Art Unit	Not Assigned	
Attorney Docket No.	103.1046.01	

METHOD OF PAYMENT	FEE CALCULATION (continued)		
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES		
Deposit Deposit	Large Entity Small Entity Fee	Con Daid	
Account 50-0365 Number	Code (\$) Code (\$)	Fee Paid	
Deposit	105 130 205 65 Surcharge - late filing fee or oath	130	
Account Name Swernofsky Law Group	127 50 227 25 Surcharge - late provisional filing fee or cover sheet		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification		
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination		
See 37 CFR 1.27	112 920* 112 920* Requesting publication of SIR prior to Examiner action		
2. X Payment Enclosed: X Check Credit card Order Other	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action		
FEE CALCULATION	115 110 215 55 Extension for reply within first month		
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month		
Large Entity Small Entity	117 890 217 445 Extension for reply within third month		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118 1,390 218 695 Extension for reply within fourth month		
101 710 201 355 Utility filing fee 710	128 1,890 228 945 Extension for reply within fifth month		
106 320 206 160 Design filing fee	119 310 219 155 Notice of Appeal		
107 490 207 245 Plant filing fee	120 310 220 155 Filing a brief in support of an appeal		
108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing		
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 710	140 110 240 55 Petition to revive - unavoidable		
335101AE(1) (4)	141 1,240 241 620 Petition to revive - unintentional		
2. EXTRA CLAIM FEES Fee from	142 1,240 242 620 Utility issue fee (or reissue)		
Extra Claims below Fee Paid	143 440 243 220 Design issue fee		
Total Claims	144 600 244 300 Plant issue fee		
Claims 3 -3 - 0 - 1 - 1 - 1	122 130 122 130 Petitions to the Commissioner		
Multiple Dependent	123 50 123 50 Petitions related to provisional applications		
Large Entity Constl Entity	126 240 126 240 Submission of Information Disclosure Stmt		
Large Entity Small Entity Fee Fee Fee Fee Pee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)		
103 18 203 9 Claims in excess of 20	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	!	
102 80 202 40 Independent claims in excess of 3	149 710 249 355 For each additional invention to be		
104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims	examined (37 CFR § 1.129(b))		
over original patent	179 710 279 355 Request for Continued Examination (RCE)		
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 432	Other fee (specify)		
*for number previously paid, if greater; For Reissues, see above	Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130		
SUBMITTED BY Complete (if epplicable)			
Name (Print/Type) John C. Merchant	Registration No. (Attorney/Agent) 45,996 Telephone (650) 947-0700		
Signature Out C Was ch	Date December 20	2000	

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/660,824

09/13/2000

Alan Rowe

103.1046.01

22883 SWERNOFSKY LAW GROUP P O BOX 390013 MOUNTAIN VIEW, CA 94039-0013



FORMALITIES LETTER

OC0000000005501446

Date Mailed: 10/24/2000

10/24/00

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$432.
 - \$432 for 24 total claims over 20.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 1252.

A copy of this notice MUST be returned with the reply.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE